FCAPS Management for the Smart Grid
High-level Summary
(Fault, Configuration, Accounting, Performance & Security Management)

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Introduction

• FCAPS is a process methodology that initially was developed by the ISO and ITU standards development organizations
• Used for systems management of various technologies
• Described within the following international standard:
  – ITU-T Recommendation M.3400, 02/2000, TMN management functions
What is FCAPS?

- **Fault Management** – set of functions which enables the detection, isolation & correction of abnormal operation of the telecommunication network & its environment
- **Configuration Management** – provides functions to exercise control over, identify, collect data from & provide data to Network Element(s)
- **Accounting Management** – enables the measurement of the use of network services & the determination of costs to the service provider & charges to the customer for such use
- **Performance Management** – provides functions to evaluate & report upon the behavior of telecommunication equipment & the effectiveness of the network or network element
- **Security Management** – provides for the management of security & includes security services for communications & event detection and reporting
Fault Management – Function Sets

FCAPS is an acronym for:
- Fault Management
- Configuration Management
- Accounting Management
- Performance Management
- Security Management

Function set groups include:
- RAS Quality Assurance
- Alarm Surveillance
- Trouble Administration
- Fault Localization
- Testing
- Fault Correction

RAS = Reliability, Availability, Survivability
Configuration Management – Function Groups

FCAPS

is an acronym for

Fault Management
Configuration Management
Accounting Management
Performance Management
Security Management

function groups include

Installation
Provisioning
Service Planning & Negotiation
Network Planning & Engineering
Status & Control
Accounting Management – Function Groups

FCAPS

is an acronym for

Fault Management
Configuration Management
Accounting Management
Performance Management
Security Management

function set groups include

Collections & Finance
Enterprise Control
Usage Measurement
Tariffing/Pricing
Performance Management – Function Groups

FCAPS is an acronym for:
- Fault Management
- Configuration Management
- Accounting Management
- Performance Management
- Security Management

Function sets include:
- Performance Quality Assurance
- Performance Monitoring
- Performance Analysis
- Performance Management Control
Security Management – Function Groups

FCAPS

- is an acronym for
- Fault Management
- Configuration Management
- Accounting Management
- Performance Management

Security Management

Function sets include
- Detection
- Prevention
- Containment & Recovery
- Security Administration
FCAPS Management – What Example

- The FCAPS process manages the following and others:
  - Networks (public & private), including narrow and broadband, mobile networks, private voice networks, VPN & intelligent networks, Circuit & packet switched networks, Area networks (WAN, MAN, LAN, etc.)
  - Transmission terminals (multiplexers, cross-connects, channel translation equipment, etc.)
  - Digital & analog transmission systems (cable, fiber, radio, satellite, etc.)
  - Operating Systems & peripherals
  - Mainframes, front-end processors, cluster controllers, file servers, etc.
  - Digital & analog exchanges, bearer services & teleservices, PBXs, PBX accesses & user (customer) terminals
  - Signaling terminals & systems including signal transfer points & real-time databases
  - Software provided by or associated with telecommunications services (e.g., switching software, directories, message databases, etc.)
  - Associated support systems (test modules, power systems, air conditioning units, building alarm systems, etc.), restoration systems
  - Distributed entities & services offered by grouping items in the above list
  - Managed resources related to the processes used in the operation of equipment, networks & services
    - Examples of such managed resources are equipment repair service order, trouble tickets generated by customer complaints, customer contract for service provisioning, service level agreements, historical data, etc.